

Compliances uploaded on NSE website for the period April 2021 – March 2022

March 2022

Compliance Under Reg 57(4)

- The Company has uploaded on NSE site the details of Unsecured Non-Convertible Debentures and Commercial Papers whose interest and principal amounts are payable in the quarter i.e. from 01.04.2022 to 30.06.2022.

Record Date for CP

- The Company on 29.03.2022 has uploaded on NSE site the Record Date for CP-05 bearing ISIN No. INE912E14LS2 as 05.04.2022 which is 1 business day prior to the maturity period date i.e 06.04.2022.

Confirmation of Payment of CP

- The Company has fulfilled its payment obligations in respect of CP-05 bearing ISIN No. INE912E14LS2 on its maturity date itself i.e 06.04.2022.

Compliance Under Reg 57(5)(a)

- The Company on 06.04.2022 has submitted on NSE site a confirmation stating that for the previous quarter i.e. from 01.01.2022 to 31.03.2022, there was no interest and principal amounts due for payment in respect Unsecured Non-Convertible Debentures. However, in respect of Commercial Papers, the same were honored on the respective due dates itself.

Confirmation under Reg. 6 and Reg. 7 of SEBI(LODR)

- The Company has submitted on NSE site a confirmation under Reg. 6(1) for appointment of the Compliance officer and Reg. 7(1) for appointment of a Register & Share Transfer Agent (RTA) of the Company, since the date of listing of debt securities of the Company with NSE.

Submission of Compliance Certificate under Reg 7(3) of SEBI(LODR) Regulations, 2015

- The Company has submitted a compliance certificate under Reg 7(3) of SEBI(LODR) Regulations, 2015 for the year ended 31.03.2022.

February 2022

Confirmation under Reg. 6 and Reg. 7 of SEBI(LODR)

- The Company has submitted on NSE site a confirmation under Reg. 6(1) for appointment of the Compliance officer and Reg. 7(1) for appointment of a Register & Share Transfer Agent (RTA) of the Company, since the date of listing of debt securities of the Company with NSE.

Submission of Asset Cover under Reg. 54(2)

- The Company on 02.02.2022 has submitted a an Asset Cover certificate for the quarter ending 31.12.2021.

Utilisation of Funds raised through Subordinated Debt

- The Company has submitted a certificate to NSE certifying that the purpose for which the proceeds of the subordinated debt securities was raised is achieved and that the proceeds are fully utilized for maintaining the capital adequacy and enhancing the long term rupee resources of the Company.

January 2022

Intimation of the Board Meeting under Reg 50 of SEBI(LODR)

- The Company has submitted on NSE site that the Company's Board Meeting is scheduled to be held on 15.01.2022 at 12.15 at the registered office of the Company for consideration of Financial Results for the nine months ended on 31.12.2021.

Submission of Grievance Report

- The Company on 03.10.2022 has submitted a Grievance report for the quarter ending 31.12.2021.

Utilisation of CP proceeds

- The Company on 03.01.2022 has submitted a quarterly (as on 31.12.2021) certificate to NSE certifying that the CP proceeds were used for disclosed purposes only.

Compliance Under Reg 57(5)(a)

- The Company on 06.01.2022 has submitted on NSE site a confirmation stating that for the previous quarter i.e. from 01.10.2021 to 31.12.2021, there was no interest and principal amounts due for payment in respect Unsecured Non-Convertible Debentures. However, in respect of Commercial Papers, the same were honored on the respective due dates itself.

Record Date for CP

- The Company on 06.01.2022 has uploaded on NSE site the Record Date for CP-04 bearing ISIN No. INE912E14LR4 as 11.01.2022 which is 1 business day prior to the maturity period date i.e 12.01.2022.

Confirmation of Payment of CP

- The Company has fulfilled its payment obligations in respect of CP-04 bearing ISIN No. INE912E14LR4 on its maturity date itself i.e 12.01.2022.

Disclosure under Reg 51

- The Company on 15.01.2022 has uploaded on NSE site the outcome of its Board meeting held on 15.01.2022 (within the time line prescribed by SEBI).

Submission of Financials as on December 31, 2021

- The Company's financials as on December 31, 2021 have been uploaded on the NSE site.

December 2021

Compliance Under Reg 57(4)

- The Company has uploaded on NSE site the details of Unsecured Non-Convertible Debentures and Commercial Papers whose interest and principal amounts are payable in the quarter i.e. from 01.01.2022 to 31.03.2022.

November 2021

Record Date for CP

- The Company has uploaded on NSE site the Record Date for CP-01 bearing ISIN No. INE912E14LO1 maturing on 02.11.2021 which is 1 business day prior to the maturity period date i.e 01.11.2021.

Confirmation of Payment of CP

- The Company has fulfilled its payment obligations in respect of CP-01 bearing ISIN No. INE912E14LO1 on its maturity date itself i.e 02.11.2021.

Record Date for CP

- The Company has uploaded on NSE site the Record Date for CP-02 bearing ISIN No. INE912E14LP8 maturing on 23.11.2021 which is 1 business day prior to the maturity period date i.e 22.11.2021.

Reporting and Monitoring of its debt securities

- The Company has submitted the announcement on Reporting and Monitoring of its debt securities (as on 30.09.2021) pursuant to SEBI circular no. CIR/IMD/DF-1/67/2017 dtd 30.06.2017 and SEBI circular no. CIR/DDHS/P/59/2018 dtd 28.03.2018.

Confirmation of Payment of CP

- The Company has fulfilled its payment obligations in respect of CP-02 bearing ISIN No. INE912E14LP8 on its maturity date itself i.e 23.11.2021.

October 2021

Submission of Compliance Certificate under Reg 7(3) of SEBI(LODR) Regulations, 2015

- The Company has submitted a compliance certificate under Reg 7(3) of SEBI(LODR) Regulations, 2015 for the half year ended 30.09.2021.

Submission of Grievance Report

- The Company on 01.10.2021 has submitted a Grievance report for the quarter ending 30.09.2021.

Utilisation of CP proceeds

- The Company on 01.10.2021 has submitted a quarterly (as on 30.09.2021) certificate to NSE certifying that the CP proceeds were used for disclosed purposes only

Listing of CP

- Listing of CP-04 was done on NSE on the day of its issuance i.e 14.10.2021 itself.

Intimation of Board Meeting

- The Company has informed NSE (under Reg 50) about its Board Meeting for consideration and adoption of its quarterly/half yearly financial results as on 30.09.2021

September 2021

Record Date for CP

- The Company has uploaded on NSE site the Record Date for CP-03 bearing ISIN No. INE912E14LQ6 maturing on 27.09.2021 which is 1 business day prior to the maturity period date i.e 24.09.2021.

Confirmation of Payment of CP

- The Company has fulfilled its payment obligations in respect of CP-03 bearing ISIN No. INE912E14LQ6 on its maturity date itself i.e 27.09.2021

Submission of Annual Report

- The Company has submitted its Annual Report as on March 31, 2021 to NSE.

July 2021

Utilisation of CP proceeds

- The Company on 02.07.2021 has submitted a quarterly (as on 30.06.2021) certificate to NSE certifying that the CP proceeds were used for disclosed purposes only

Submission of Grievance Report

- The Company on 02.07.2021 has submitted a Grievance report for the quarter ending 30.06.2021.

Record Date for Principal + Interest Payment of Tier II Bond (NCD)

- The Record Date (07.07.2021) for Interest payment along with Principal on Tier-II Bonds of the Company (Series – SBIGFL-9) for ISIN No. INE912E08AD9 has been duly uploaded by the Company on NSE.

Prior intimation regarding Principal +Interest payment of Tier-II bonds (NCD)

- The Company has given a prior intimation regarding Principal +Interest payment of Tier-II bonds (Series- SBIGFL-9) bearing ISIN No. INE912E08AD9.

Confirmation of Payment of Tier II Bond (NCD)

- The Company has informed NSE regarding Principal +Interest payment of Tier-II bonds (Series- SBIGFL-9) bearing ISIN No. INE912E08AD9, on the due date itself i.e 29.07.2021.

Submission of Financials as on June 30, 2021

- The Company's financials as on June 30, 2021 have been uploaded on the NSE site.

June 2021

Record Date for CP

- The Company has uploaded on NSE site the Record Date for CP-18 bearing ISIN No. INE912E14LL7 maturing on 17.06.2021 which is 1 business day prior to the maturity period date i.e 16.06.2021.

Confirmation of Payment of CP

- The Company has fulfilled its payment obligations in respect of CP-18 bearing ISIN No. INE912E14LL7 on its maturity date itself i.e 17.06.2021

Record Date for CP

- The Company has uploaded on NSE site the Record Date for CP-19 bearing ISIN No. INE912E14LM5 maturing on 24.06.2021 which is 1 business day prior to the maturity period date i.e 23.06.2021.

Confirmation of Payment of CP

- The Company has fulfilled its payment obligations in respect of CP-19 bearing ISIN No. INE912E14LM5 on its maturity date itself i.e 24.06.2021

Record Date for CP

- The Company has uploaded on NSE site the Record Date for CP-20 bearing ISIN No. INE912E14LN3 maturing on 29.06.2021 which is 1 business day prior to the maturity period date i.e 28.06.2021.

Confirmation of Payment of CP

- The Company has fulfilled its payment obligations in respect of CP-20 bearing ISIN No. INE912E14LN3 on its maturity date itself i.e 29.06.2021

Listing of CPs

- Listing of CP-03 was done on NSE on the day of its issuance i.e 29.06.2021 itself.

May 2021

Record Date for CP

- The Company has uploaded on NSE site the Record Date for CP-17 bearing ISIN No. INE912E14LK9 maturing on 06.05.2021 which is 1 business day prior to the maturity period date i.e 05.05.2021.

Confirmation of Payment of CP

- The Company has fulfilled its payment obligations in respect of CP-17 bearing ISIN No. INE912E14LK9 on its maturity date itself i.e 05.05.2021

Listing of CPs

- Listing of CP-01 was done on NSE on the day of its issuance i.e 06.05.2021 itself.
- Listing of CP-02 was done on NSE on the day of its issuance i.e 27.05.2021 itself.

April 2021

Initial Disclosure on Large Corporate

- The Company, on 01.04.2021 has made an initial disclosure to NSE that it is a Large Corporate as per the applicability criteria given under the SEBI circular SEBI/HO/DDHS/CIR/P/2018/144 DTD 26.11.2018.

Record Date for CP

- The Company has uploaded on NSE site the Record Date for CPs (CP 10 & CP 14) bearing ISIN No. INE912E14LE2 maturing on 15.04.2021 which is 1 business day prior to the maturity period date i.e 12.04.2021.

Confirmation of Payment of CP

- The Company has fulfilled its payment obligations in respect of CPs (CP 10 & CP 14) bearing ISIN No. INE912E14LE2 on its maturity date itself i.e 15.04.2021

Intention to raise funds through private placement

- On 19.04.2021, the Company informed NSE regarding its intention to raise funds through fresh unsecured non-convertible debt securities on a privately placement basis and listing of the same on the NSE platform.

Reporting and Monitoring of its debt securities

- On 19.04.2021, the Company submitted the announcement on Reporting and Monitoring of its debt securities pursuant to SEBI circular no. CIR/IMD/DF-1/67/2017 dtd 30.06.2017 and SEBI circular no. CIR/DDHS/P/59/2018 dtd 28.03.2018.

Record Date for CP

- The Company has uploaded on NSE site the Record Date for CP bearing ISIN No. INE912E14LJ1 maturing on 26.04.2021 which is 1 business day prior to the maturity period date i.e 23.04.2021.

Confirmation of Payment of CP

- The Company has fulfilled its payment obligations in respect of CP-16 bearing ISIN No. INE912E14LJ1 on its maturity date itself i.e 26.04.2021

Record Date for CP

- The Company has uploaded on NSE site the Record Date for CP bearing ISIN No. INE912E14LJ1 maturing on 26.04.2021 which is 1 business day prior to the maturity period date i.e 23.04.2021.